

This Page Is Inserted by IFW Operations  
and is not a part of the Official Record

## **BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

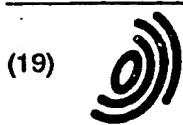
Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning documents *will not* correct images,  
please do not report the images to the  
Image Problem Mailbox.**

**THIS PAGE BLANK (USPTO)**



(19)

Europäisches Patentamt

European Patent Office

Office européen des brevets



(11)

EP 0 846 932 A2

(12)

## EUROPEAN PATENT APPLICATION

(43) Date of publication:

10.06.1998 Bulletin 1998/24

(51) Int. Cl.<sup>6</sup>: G01B 7/34, G02B 21/00

(21) Application number: 98101571.2

(22) Date of filing: 28.10.1994

(84) Designated Contracting States:

DE FR GB

(30) Priority: 05.11.1993 JP 276708/93

(62) Document number(s) of the earlier application(s) in accordance with Art. 76 EPC:

94117120.9 / 0 652 414

(71) Applicant:

SEIKO INSTRUMENTS INC.  
Chiba-shi, Chiba 261 (JP)

(72) Inventors:

- Chiba, Norio  
Mihamachi, Chiba-shi, Chiba 261 (JP)
- Muramatsu, Hiroshi  
Mihamachi, Chiba-shi, Chiba 261 (JP)

(74) Representative:

Fleuchaus, Leo, Dipl.-Ing. et al  
Melchiorstrasse 42  
81479 München (DE)

Remarks:

This application was filed on 29 - 01 - 1998 as a divisional application to the application mentioned under INID code 62...

## (54) Scanning near-field optic/atomic force microscope

(57) The present invention relates to a scanning near-field optic/atomic force microscope adapted to observe topography and optical characteristics of a sample (13), said microscope comprising:

a cantilever (2) having a probe (1) in an end portion thereof consisting primarily of a lightpropagating medium having an optical opening for passing light, said optical opening forming a sharp front end;  
a light-transmitting device (6) having a light-transmitting optical opening in both ends thereof, wherein one of said ends of said light-transmitting device is disposed close to a back surface of said probe (1);  
a light source (10) and optics (11, 12) for generating a light to measure a feature of the sample (13) and for directing generated light to the sample (13);  
a photoelectric converter device (7) and optics for receiving light from the sample (13);  
a deflection-detecting means for optically detecting deflection of said cantilever (2), comprising a light source (3) for generating a laser light for use of deflection-detection; a condenser lens (4) for directing the laser light to a back surface of said cantilever (2); and another photoelectric converter device (5) and optics for detecting reflected light from back surface of said cantilever (2);  
a motion mechanism (21, 22) for making a relative movement between the sample and said probe;

and

a gap control means (23) for controlling a distance between the surface of the sample (13) and the sharp front end of said probe (1);

wherein the probe (1) of the cantilever (2) comprises an overhang portion (43) and the condenser lens (4) directs the laser light to said overhang portion (43).

FIG. 1

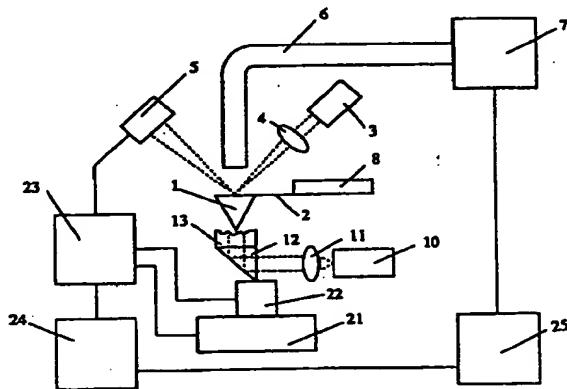
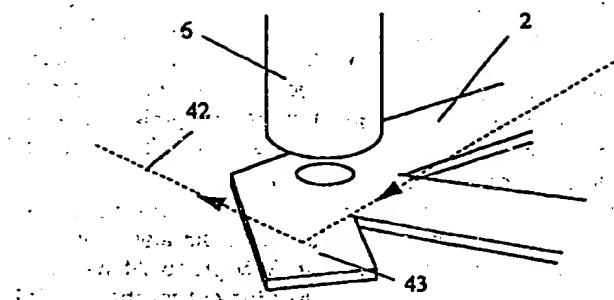


FIG. 8



**Description****BACKGROUND OF THE INVENTION**

The present invention relates to a scanning near-field optic/atomic force microscope for observing the topography of a substance to be investigated, by making use of an atomic force acting between substances, and at the same time for observing the optical property of a microscopic region of the investigated substance by a probe consisting of a light-propagating body.

Atomic force microscopes (AFMs) are capable of accurately observing the topography of the surface of a sample, irrespective of whether the sample is conductive or not, in contrast with scanning tunneling microscopes (STMs) and, therefore, AFMs are widespread. Atomic force microscopy (AFM) is a measuring method utilizing the fact that a spring element supporting a measuring probe is deflected by an atomic force acting between a sample and the measuring probe.

In an attempt to measure the optical characteristics and the topography of a sample, a probe consisting of a light medium having a sharp front end was brought close to the sample to be investigated such that the distance between them was less than the wavelength of the light. Also, some near-field optical microscopes have been proposed. In one of these instruments, laser light is directed from the rear side of a sample such that the light is totally reflected by the rear surface of the sample. Evanescent light leaking from the front surface of the sample is detected by bringing the front end of an optical fiber probe close to the surface of the sample, the probe being equipped with a fine-motion mechanism. Variations in the intensity of the evanescent light are measured by scanning the probe so as to detect predetermined evanescent light or by scanning the probe horizontally so as to detect the absolute value of the evanescent light. In this way, the topography of the surface is observed.

In another proposed apparatus, the front end of an optical fiber probe is held vertical to a sample. The front end is vibrated horizontally over the surface of the sample to produce friction between the sample surface and the front end of the probe, thus resulting in vibrations. Variations in the amplitude of the vibrations are detected as deviations of the optical axis of laser light which is emitted from the front end of the optical fiber and transmitted through the sample. A fine-motion mechanism is actuated to move the sample so that the distance between the front end of the probe and the sample surface is maintained constant. The surface topography is detected from the intensity of the signal applied to the fine-motion mechanism. Also, the transmissivity of the sample for the light is measured.

In a further proposed apparatus, a glass capillary having a hook-shaped front end portion is used. A fluorescent material is loaded into the tip portion of the capillary. A reflecting sheet for optically detecting

deflections of the probe is installed on the rear side of the capillary, i.e., on the opposite side of the front end of the hook-shaped portion. Light is emitted from the back side of the sample and transmitted through the sample.

This causes the fluorescent material at the front end of the probe close to the sample to emit light, which is transmitted through the sample. This light is detected at the rear surface of the sample. In this way, the surface topography of the sample is investigated by atomic force microscopy (AFM). At the same time, the transmissivity of the sample is measured by near-field optic microscopy.

A still other proposed apparatus uses a probe consisting of an electrically conductive optical medium as an STM probe. At the same time, the apparatus measures the optical characteristics of the sample.

The prior art AFM and STM techniques are adapted for observation of surface topography but are incapable of measuring the physical and chemical properties of a sample. A method of using light as a means for observing these properties of a sample is contemplated.

Some apparatuses of near-field optical microscopes using the above-described light use evanescent light. In such an apparatus, light intensity is used as information in the direction of height. Therefore, it is impossible to separate variations in the light intensity in the direction of height from light intensity variations due to absorption of light into a sample. Hence, it is difficult to use this apparatus as a means for measuring the physical and chemical properties of a sample. Where the sample surface is greatly uneven, light may not be totally reflected by the rear surface of the sample but be transmitted through it. Transmitted light rays may interfere with each other on the surface of the sample, thus hindering measurements.

In the case of an apparatus where a probe is vibrated horizontally, it is necessary that the sample be a substance which transmits light. In addition, the front end of the probe vibrates horizontally. Therefore, where the sample surface is greatly uneven, limitations are imposed on improvements of the horizontal resolution.

In the case of an apparatus using a capillary, it is necessary that the sample transmit light. Also, the measurable wavelength of the light may be restricted by the used fluorescent material.

Where the apparatus is combined with an STM, measurable samples are limited to conductive ones.

**SUMMARY OF THE INVENTION**

It is an object of the present invention to realize an apparatus capable of measuring the topography of the surface of a sample and the optical characteristics of the sample at high resolution, irrespective of whether the sample transmits light or whether the sample is conductive.

In order to realize the above-described object according to the present invention, a probe comprising

a light-propagating medium having an end portion provided with an optical opening that passes light is fabricated by thin-film micro-fabrication technology and disposed at one end of a cantilever, the optical opening portion forming a sharp front end portion. This cantilever is coated with a reflective or shielding coating at least except for the opening in the probe. If necessary, a dichroic mirror for wavelength-separating signal light for measuring properties of the sample and signal light for detecting a deflection of the cantilever is disposed on the back surface of the probe.

Furthermore, a light-transmitting device comprising a light-propagating medium is disposed in such a way that the light-transmitting surface is close to the back surface of the probe. The light-propagating medium has an optical opening for passing light in an end portion. The optical opening portion is a flat or concave surface. This light-transmitting device acts to introduce light into the probe and to direct light to the sample from the front end of the probe or to transmit light from the sample received at the front end of the probe to a photoelectric converter device.

A scanning near-field optic/atomic force microscope comprises: a cantilever having the above-described probe; a light-transmitting device; a light source and optics for irradiating a sample with light; a photoelectric converter device and optics for receiving light from the sample; a deflection-detecting means for optically detecting deflection of the cantilever; rough- and fine-motion mechanisms for making a relative movement between the sample and the sharpened probe; a gap control means for controlling a distance between the sample and the sharpened probe; and a computer for controlling the whole apparatus.

A scanning near-field optic/atomic force microscope comprises: a cantilever having the above-described probe; a light-transmitting device; a light source and optics for irradiating a sample with light; a photoelectric converter device and optics for receiving light from the sample; a vibration means for producing vibrations between the front end of the probe and the sample; a deflection-detecting means for optically detecting deflection of the cantilever; rough- and fine-motion mechanisms for making a relative movement between the sample and the sharpened probe; a gap control means for controlling a distance between the sample and the sharpened probe; and a computer for controlling the whole apparatus.

The above-described deflection-detecting means comprises a laser light source for generating a laser light for use of deflection-detection, a condenser lens for directing laser light to the back surface of the cantilever, and a photoelectric converter device and optics for detecting reflected light from the back surface of the cantilever. The optical axis of the laser light passes between an end surface of the light-transmitting device and the probe or passes by the light-transmitting device.

The above-described deflection-detecting means

comprises an interferometer including a laser light source, a beam splitter for separating and combining measured light and reference light, a light-transmitting device and a photoelectric converter device and optics for detecting the reflected light, and a dichroic mirror for wavelength-separating signal light for measuring properties of the sample and signal light for detecting of a deflection of the cantilever.

In the above-described structure of the scanning near-field optic/atomic force microscope, a cantilever having a probe consisting of a light-propagating medium fabricated by thin-film micro-fabrication technology is used as the cantilever of an AFM. The reflective or shielding film that covers the probe except for the opening at the front end acts to avoid the effects of unwanted scattering light entering into the probe from a portion other than the measured portion. Where light is directed to the sample from the probe, the film serves to focus the light spot onto the sample surface. The dichroic mirror installed on the rear surface of the probe acts to wavelength-separate signal light for measuring properties of the sample and signal light for detection of a deflection of the cantilever. The dichroic mirror transmits the signal light for measuring properties of the sample and reflects the signal light for detection of the deflection of the cantilever.

The light-transmitting device which is installed close to the back surface of the probe and whose optical opening portion is a flat or convex surface acts to introduce light into the probe and to direct light to the sample from the front end of the probe or to transmit light from the sample received at the front end of the probe to a photoelectric converter device.

The deflection-detecting means, comprising a laser light source, a condenser lens for directing the laser light to the back surface of a cantilever, and a detection system for detecting the reflected light, is so constructed that the optical axis of the laser light passes between an end surface of the light-transmitting device and the probe or passes by the side of the light-transmitting device. Consequently, deflection of the cantilever can be detected from a deviation of the position of the reflected light without being obstructed by the light-transmitting device.

The deflection-detecting means comprising, an interferometer including a laser light source, a beam splitter for separating and combining measured light and reference light, a light-transmitting device and a detection system, and a dichroic mirror for wavelength-separating signal light for measuring properties of the sample and signal light for detecting of a deflection of the cantilever, can detect deflection of the cantilever by making use of interference of light waves.

An apparatus for measuring light transmitted through a sample can adopt an operation in which light from a light source is directly transmitted through the inner surface of the sample, an operation in which light is totally reflected by the inner surface of the sample and

leaking evanescent light is measured, and an operation in which light is introduced into the probe and then light is directed to the sample from the front end of the probe. In any operation, the transmissivity, absorbance, or fluorescent characteristics of the sample can be measured.

In the apparatus for measuring light reflected from the sample, light is introduced into the probe, and light is directed to the sample from the front end of the sample. Alternatively, light is directly directed to the surface of the sample, and reflected light is detected at the front end of the probe.

In the case of transmitted light, transmissivity, absorbance, and fluorescence can be measured. In the case of reflected light, the apparatus is adapted for measurement of the absorbance of the sample surface, reflectivity, and fluorescence.

With respect to the vibration means for producing vertical vibrations relatively between the front end of the probe and the sample, when the probe was scanned horizontally, this means can prevent damage of the surface of the sample and the front end of the probe that might be resulted by a lateral stress between the sample and the front end of the probe, because the sample and the front end of the probe would make contact with each other in response to unevenness of the sample.

#### BRIEF DESCRIPTION OF THE DRAWINGS

Fig. 1 is a view showing the structure of a scanning near-field optic/atomic force microscope according to a first embodiment of the invention, showing the structure for detecting light transmitted through a sample with a probe;

Fig. 2 is a view showing the structure of a part of a scanning near-field optic/atomic force microscope according to a second embodiment of the invention, showing the optical system portion of an apparatus for detecting evanescent light;

Fig. 3 is a view showing the structure of a part of a scanning near-field optic/atomic force microscope according to a third embodiment of the invention, showing the optical system portion of an apparatus for emitting light from a probe to detect transmitted light;

Fig. 4 is a view showing the structure of a part of a scanning near-field optic/atomic force microscope according to a fourth embodiment of the invention, showing the optical system portion of an apparatus in which a probe is used for both projected light and received light;

Fig. 5 is a view showing the structure of a part of a scanning near-field optic/atomic force microscope according to a fifth embodiment of the invention, showing the optical system portion of an apparatus in which deflection of a cantilever is detected by an interferometer;

Fig. 6 is a schematic of a cantilever portion, show-

ing an embodiment of the invention;

Fig. 7 is a schematic of a cantilever portion, showing an embodiment of the invention; and

Fig. 8 is a perspective view of a cantilever portion, showing an embodiment of the invention.

#### DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

Embodiments of the present invention are hereinafter described by referring to the drawings.

Fig. 1 shows the structure of a scanning near-field optic/atomic force microscope according to a first embodiment of the present invention, showing a structure in which light transmitted through a sample is detected with a probe. A cantilever 2 has the probe 1, installed on a bimorph 8. A laser light source 3, a condenser lens 4, and a photoelectric converter device 5 are installed above the cantilever 2. The laser light from the laser light source 3 is focused onto the back surface of the cantilever 2 by the condenser lens 3, and the reflected light is introduced into the photoelectric converter device 5. The light emitted by a light source 10 for measurement of optical information is directed to a sample 13 from its rear surface via a collimator lens 11, the sample 13 being placed on a prism 12. The inclined surface of the prism 12 is so processed that it totally reflects incident light. Light is introduced into the front end of the probe 1 close to the sample 13 and admitted into a photoelectric converter device 7 via a light-transmitting device 6. The prism 12 and the sample 13 are placed on a rough-motion mechanism 21 and on a fine-motion mechanism 22 which are capable of moving in three dimensions. A signal detected by the photoelectric converter device 5 is sent to a servo-mechanism 23. In response to this signal, the servo-mechanism 23 controls the rough-motion mechanism 21 and the fine-motion mechanism 22 when the probe is moved toward the sample or when the surface is observed. The servo-mechanism produces such an output signal that deflection of the probe does not exceed a predetermined value. A computer 24 is connected with the servo-mechanism 23 to control operation of the fine-motion mechanism 22 along a horizontal plane, and receives information about the topography from the control signal from the servo-mechanism. When light from the light source 10 is modulated or when vibrations are produced between the probe and the sample, the signal from the photoelectric converter device 7 is coupled to the analog input interface of the computer 24 via a lock-in amplifier 25. When the light is not modulated, the signal is directly coupled to the analog input interface of the computer 24. The computer 24 detects optical information from the signal synchronized with two-dimensional operation of the fine-motion mechanism 22.

Fig. 2 is a view showing the structure of a part of a scanning near-field optic/atomic force microscope according to a second embodiment of the present

invention, showing the optical system portion of an apparatus for detecting evanescent light. Light emanating from a light source 10 for measurement of optical information is directed via a collimator lens 11 and a mirror 14 to a prism 15 at such an angle that the light is totally reflected off the rear surface of a sample 13 placed on the prism 15 whose inclined surface faces upward. Evanescent light leaking from the sample surface at this time is introduced into the front end of a probe 1 close to the sample 13, and is introduced into a photoelectric converter device 7 via a light-transmitting device 6. The prism 15 and the sample 13 are placed on a rough-motion mechanism 21 and on a fine-motion mechanism 22 which are capable of moving in three dimensions.

When the apparatus is so modified that the light from the light source 10 for measurement of optical information is directly directed on the surface of the sample 13, light reflected by the sample 13 may be introduced into the front end of the probe 1 close to the sample. The light may then be introduced into the photoelectric converter device 7 via the light-transmitting device 6.

Fig. 3 is a view showing the structure of a part of a scanning near-field optic/atomic force microscope according to a third embodiment of the present invention, showing the optical system portion of an apparatus in which light is emitted from a probe and the transmitted light is detected. Light emitted from a light source 10 for measurement of optical information is introduced into a light-transmitting device 6 by a condenser lens 16 and transmitted to a probe 1. The light is then directed to the surface of a sample 13 from the front end of a probe 1 placed close to the sample 13, which is placed on a prism 12. The inclined surface of the prism 12 is so coated that it totally reflects incident light. Light reflected by the inner surface of the inclined surface of the prism 12 is collimated by a lens 17 and introduced into the photoelectric converter device 7 by a lens 18. The prism 12 and the sample 13 are placed on a rough-motion mechanism 21 and on a fine-motion mechanism 22 which are capable of moving in three dimensions.

Also, the apparatus is so modified that light reflected by the sample 13 is gathered and introduced into the photoelectric converter device 7. Light is emitted from the probe 1 to the sample 13. The reflected light is detected.

Fig. 4 is a view showing the structure of a part of a scanning near-field optic/atomic force microscope according to a fourth embodiment of the present invention, showing the optical system portion of a reflected light-measuring apparatus in which a probe is used for both projected light and received light. Light emitted from a light source 10 is collimated by a lens 30 and transmitted through a beam splitter 32. Then, the light is collected by a lens 31 and introduced into a light-transmitting device 6. Light transmitted to the probe 1 by the light-transmitting device 6 is directed to the surface of

the sample 13 from the front end of the probe 1 close to the sample 13. Light reflected by the sample 13 is again introduced into the front end of the probe 1 and is emanated via the light-transmitting device 6 and collimated by the lens 31. The light is then reflected at 90° by the beam splitter 32. The reflected component of the laser light is introduced into the photoelectric converter device 7 by a lens 33. The sample 13 is placed on a rough-motion mechanism 21 and on a fine-motion mechanism 22 which are able to move in three dimensions.

Fig. 5 is a view showing the structure of a part of a scanning near-field optic/atomic force microscope according to a fifth embodiment of the present invention, showing the optical system portion of an apparatus using an interferometer for detecting deflection of a cantilever. Laser light emitted by a single-wavelength stabilized laser 38 is collimated by a lens 30. The component transmitted through a beam splitter 32 is transmitted through a dichroic mirror 35 and collected by a lens 31. The light is then introduced into a light-transmitting device 6, reflected by the back surface of a cantilever 2, and again introduced into the light-transmitting device 6. The light is collimated by the lens 31, transmitted through the dichroic mirror 35, and reflected at 90° by the beam splitter 32. Meanwhile, the component of the laser light which is emitted by the single-wavelength stabilized laser 38 and is reflected by the beam splitter 32 is reflected by a reference mirror 37 and combined with light reflected from the back surface of the cantilever 2 by the beam splitter 32. The combined light is collected by a lens 33 and detected as an interfering light wave signal by a photoelectric converter device 36. Optical information created by reflection from the sample 13, transmission through it, and total reflection from the rear surface of it are detected at the front end of the probe 1. The light is then collimated by the lens 31 via the light-transmitting device 6, reflected at 90° by the dichroic mirror 35, collected by a lens 34, and introduced into a photoelectric converter device 7. If the wavelength of the signal light from the interferometer is sufficiently separated from the wavelength of the sample light, the dichroic mirror 35 can divide each kind of light into transmission and reflection in terms of wavelength.

In the embodiment shown in Fig. 5, if the photoelectric converter device 7 is replaced by a light source 10 for measurement of optical information, then the structure is such that light is emitted from the front end of the probe 1 described in the example of Fig. 3. If the light-emitting optical system for measurement of optical information is omitted, and if a semitransparent mirror is mounted on the back surface of the cantilever 2, then the laser light from the laser 38 that is the light source for the interferometer can also be used as the light used for measurement of optical information. That is, the light for detection of deflection of the cantilever 2 can also be used as the light directed to the sample 13.

In the embodiment shown in Fig. 5, if a beam split-

ter is installed between the dichroic mirror 35 and the lens 34, and if a light source for measurement of optical information and a photoelectric converter device are installed on the reflected light path and on the transmitted light path, respectively, then the probe 1 described in the embodiment of Fig. 4 can be used for both projected light and received light.

In the apparatuses described thus far, the cantilever 2 having the probe 1 is fabricated by thin-film microfabrication technology. The material of the cantilever can be oxide film such as silicon oxide or silicon nitride, transparent conductive film such as ITO or tin oxide, and other materials transmitting light. Especially, where a transparent conductive film is used, a tunneling current can be detected during operation. Also, an STS (scanning tunneling spectroscopy) measurement can be made. The probe 1 is coated with a metal film made of nickel, chromium, or gold except for an opening at the front end of the probe. Where such a film is formed, if light is introduced into the probe, light noise from side surfaces can be eliminated. If light is directed from the probe, the directivity of the illuminating light can be enhanced. Sputtering, vapor deposition, electroless plating, or other method can be used as a method of depositing the metal film. Usually, the probe is coated with a reflective film up to the opening. To remove the coating over the opening, etching using a strong acid can be utilized. Alternatively, during operation of AFM, the contact pressure is increased to mechanically remove the coating.

An optical fiber whose end surface is polished so as to be flat or convex is used as the light-transmitting device 6. The dichroic mirror 35 is a so-called dielectric multilayer mirror. By selecting an appropriate one, light can be efficiently separated into transmission and reflection if two wavelengths are spaced more than tens of nanometers. A photomultiplier, a photodiode, a photodiode array, or a CCD image sensor can be used as the photoelectric converter device 7. If necessary, a spectral element such as an optical filter or diffraction grating can be disposed as an optical system located before the photoelectric converter device 7. In this case, wavelength information can be observed. The light source 10 can consist of a semiconductor laser, a He-Ne laser, an Ar laser, a nitrogen laser, a YAG laser, other laser, or a conventional white light source or a monochromator. Where the detected light is quite weak as encountered where evanescent light is measured, it is necessary that the light emitted by the light source be modulated and that the synchronized component be taken from the detector output to remove noise. In the case of a semiconductor laser, electrical current flowing through the laser element is controlled by pulses. In this way, the light can be modulated.

Where other light source is used, the light can be modulated by the use of a mechanical light chopper, an EO modulator (electrooptic modulator), or an AO modulator (acoustooptic modulator). Where vibrations are

produced between the probe and the sample, these vibrations produce the same effect as modulation. A piezoelectric ceramic cylinder having a length of 100 mm, an outside diameter of 10 mm, and a wall thickness of 1 mm is used as the fine-motion mechanism 22. This fine-motion device moves 150  $\mu\text{m}$  in X- and Y-directions and 5  $\mu\text{m}$  in the Z-direction. The means for producing vibrations between the probe and the sample can be a structure comprising a piezoelectric device for vibrating the cantilever. The vibrations can also be produced by Z-direction motion of the fine-motion mechanism 22. A bimorph for vibrating the sample in the Z-direction can be added to the fine-motion mechanism 22.

Fig. 6 is a schematic of the cantilever portion, showing an embodiment of the present invention. A dichroic mirror 40 is installed on the cantilever 2. Where the wavelength of light for detecting deflection of the cantilever is sufficiently separated from the wavelength of sample light, the dichroic mirror 40 efficiently reflects a detection light 42 for detecting deflection of the cantilever by means of the back surface of the cantilever. Optical information from the sample or a reference light 41 irradiating the sample is efficiently transmitted to or from the cantilever. The dichroic mirror 40 can be fabricated simultaneously by thin-film microfabrication technology during fabrication of the cantilever 2.

Fig. 7 is a schematic of the cantilever portion, showing an embodiment of the present invention. In this structure, the detection light 42 for detecting deflection of the cantilever 2 passes between the cantilever 2 and the light-transmitting device 6. This permits the deflection of the cantilever 2 to be detected from the deviation of the position of the light reflected by the cantilever 2 without being hindered by the light-transmitting device 6.

Fig. 8 is a perspective view of the cantilever portion, showing an embodiment of the present invention. The detection light 42 for detecting deflection of the cantilever 2 passes by the light-transmitting device 6 and is reflected by an overhang portion 43 formed on the cantilever 2. In this structure, deflection of the cantilever 2 can be detected from the deviation of the position of the light reflected by the cantilever 2 without being hindered by the light-transmitting device 6. The overhang portion 43 can be fabricated as a part of the shape of the cantilever 2 during fabrication of the cantilever 2.

In the apparatus built in this way, where transmitted light was to be measured, two-dimensional information about transmittance, absorbance, and fluorescent light could be measured simultaneously with observation of an AFM image. We have found that where reflected light is measured, the apparatus is suited for measurements of the transmittance, absorbance of the sample surface and of fluorescent light from the surface. Resolutions of 10 nm could be achieved in obtaining AFM image and two-dimensional optical information.

Since the probe is fabricated by thin-film microfabrication technology in the same way as ordinary probe

for AFM, the novel probe is excellent in shape accuracy and reproducibility and well suited for mass production. Because the probe is small, it is immune to external disturbance such as vibrations. Where the probe uses a transparent conductive film, a tunneling current can be detected, as well as shape information and optical information. In addition, optical information can be detected during STM operation.

In the present embodiment, the probe is fixed, and the operating means is mounted on the side of the sample. It is also possible to build an apparatus in which an operating means is mounted on the side of the probe, and in which a sample is fixed.

As described thus far, according to the novel scanning near-field optic/atomic force microscope of the present invention, an apparatus can be accomplished which is capable of measuring the surface topography and optical characteristics of a sample at high resolution, irrespective of whether the sample transmits light or whether it is conductive.

Especially, the probe is fabricated by thin-film micro-fabrication technology in the same way as ordinary AFM. The probe is excellent in shape accuracy and shape reproducibility and well suited for mass production. Since the probe is small, it is less affected by external disturbance such as vibrations. Hence, the performance can be improved.

#### Claims

1. A scanning near-field optic/atomic force microscope adapted to observe topography and optical characteristics of a sample (13), said microscope comprising:

a cantilever (2) having a probe (1) in an end portion thereof consisting primarily of a light-propagating medium having an optical opening for passing light, said optical opening forming a sharp front end; a light-transmitting device (6) having a light-transmitting optical opening in both ends thereof, wherein one of said ends of said light-transmitting device is disposed close to a back surface of said probe (1); a light source (10) and optics (11, 12) for generating a light to measure a feature of the sample (13) and for directing generated light to the sample (13); a photoelectric converter device (7) and optics for receiving light from the sample (13); a deflection-detecting means for optically detecting deflection of said cantilever (2), comprising a light source (3) for generating a laser light for use of deflection-detection; a condenser lens (4) for directing the laser light to a back surface of said cantilever (2); and another photoelectric converter device (5) and optics

for detecting reflected light from back surface of said cantilever (2);

a motion mechanism (21, 22) for making a relative movement between the sample and said probe; and

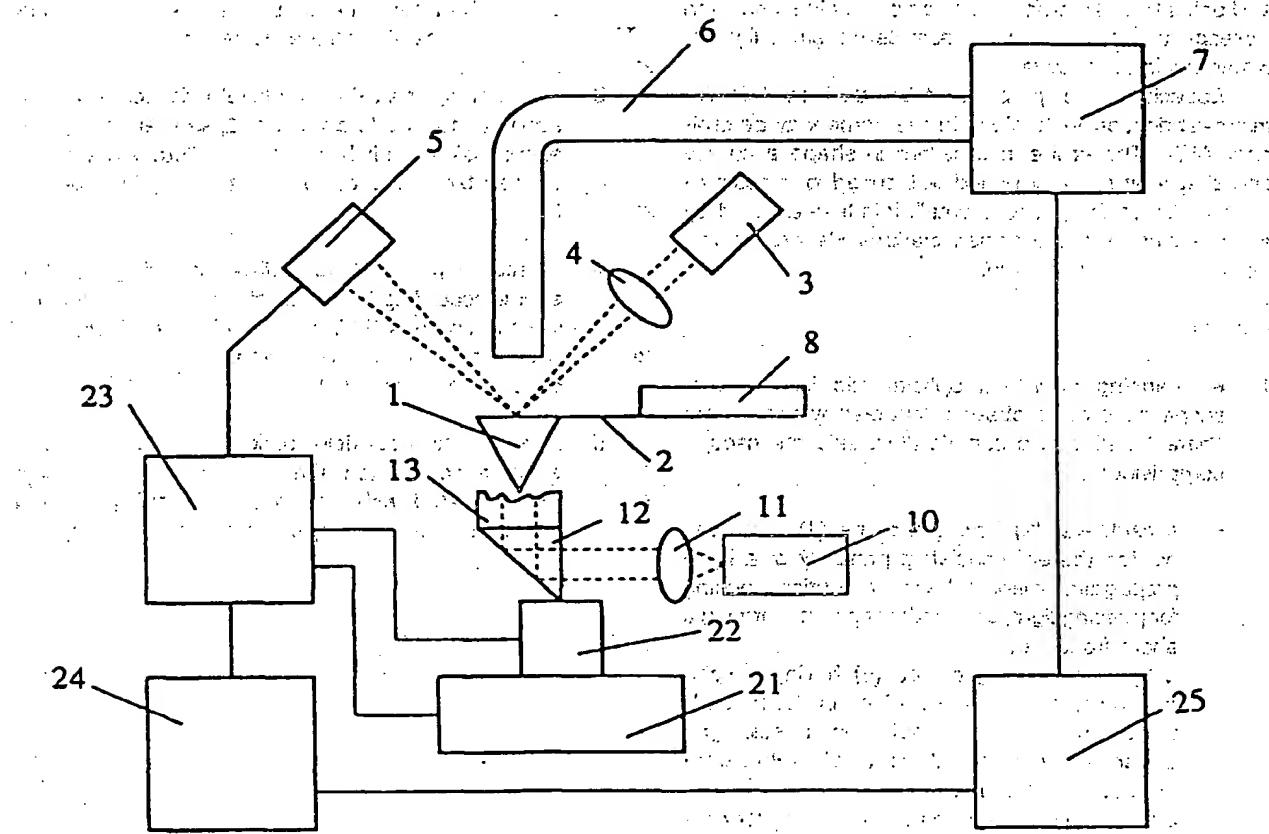
a gap control means (23) for controlling a distance between the surface of the sample (13) and the sharp front end of said probe (1).

#### characterized in

that the probe (1) of the cantilever (2) comprises an overhang portion (43); and that the condenser lens (4) directs the laser light to said overhang portion (43).

15. 2. A scanning near-field optic/atomic force microscope according to claim 1, wherein an optical axis of said laser light for use of deflection-detection passes between an end surface of said light-transmitting device (6) and the probe (1).
20. 3. A scanning near-field optic/atomic force microscope according to claim 1 or 2, wherein an optical axis of said laser light for use of deflection-detection passes by a side of said light-transmitting device (6).
25. 4. A scanning near-field optic/atomic force microscope according to any of claims 1 - 3, wherein a vibration means (8) is provided for producing vertical vibrations relatively between the sharp front end of said probe (1) and the sample (13).
30. 5. A scanning near-field optic/atomic force microscope according to claim 4, wherein said cantilever (2) is coated with an optically shielding coating except for the optical opening of said probe (1).

FIG. 1



**THIS PAGE BLANK (USPTO)**

FIG. 2

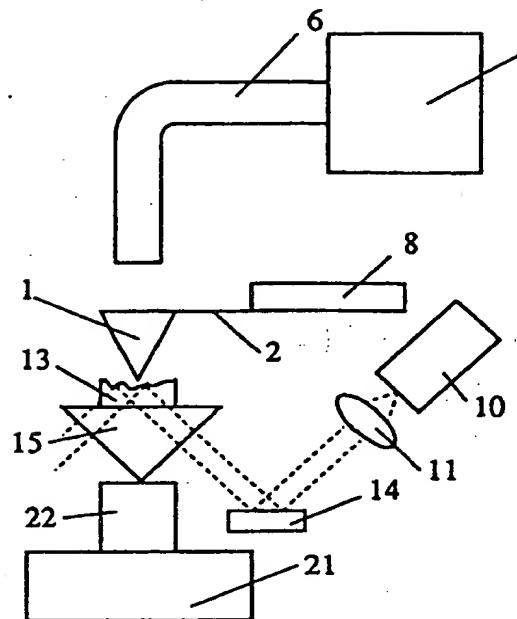


FIG. 3

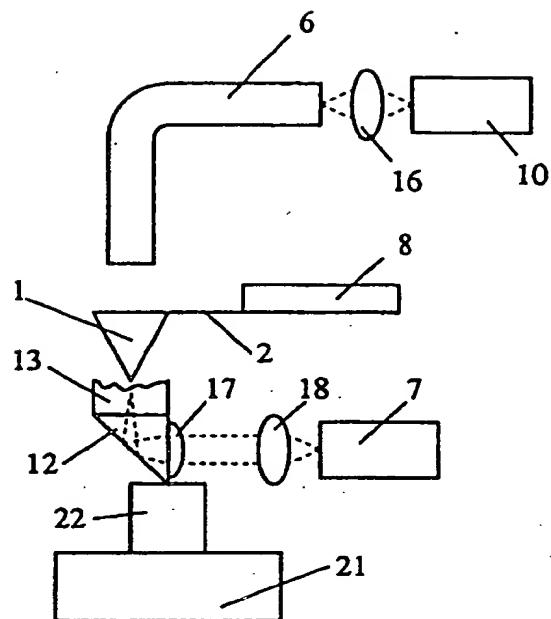
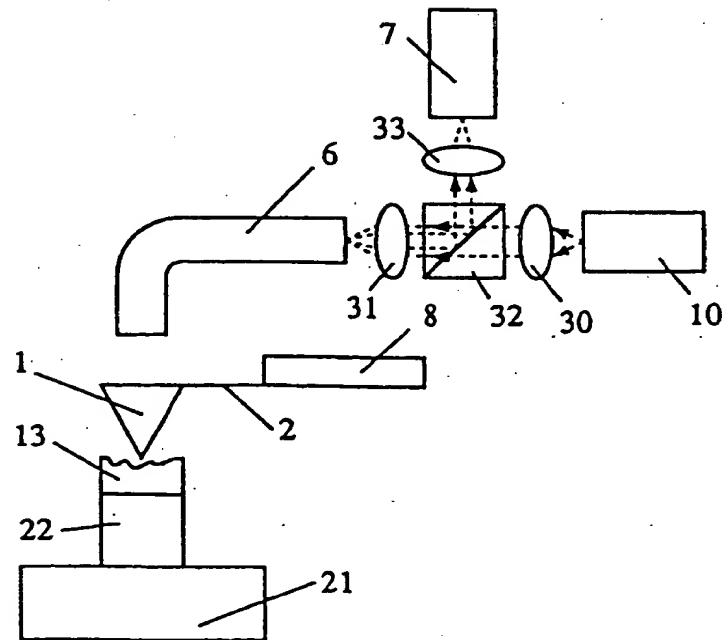


FIG. 4



**THIS PAGE BLANK (USPTO)**

FIG. 5

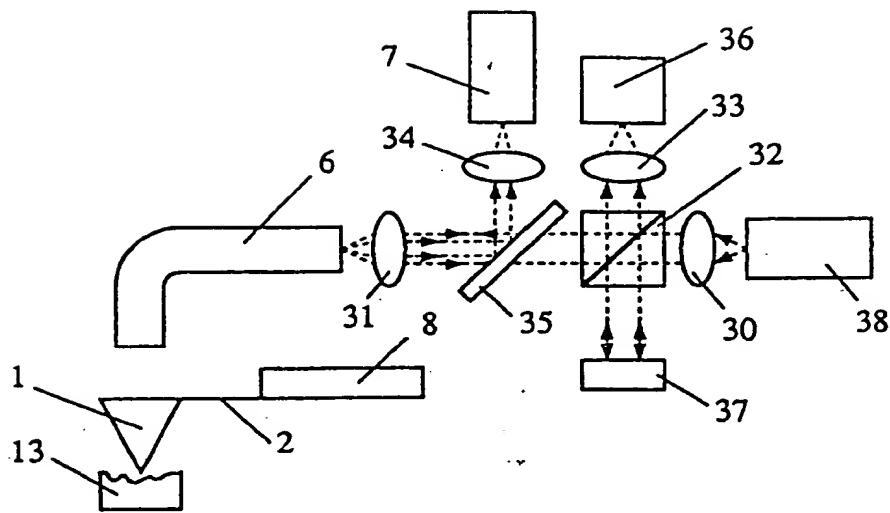


FIG. 6

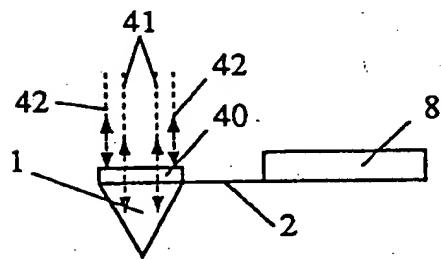


FIG. 7

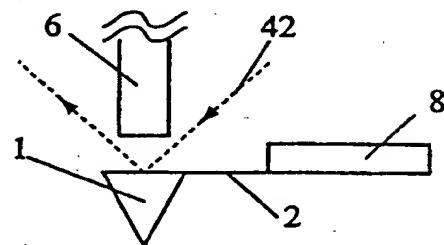
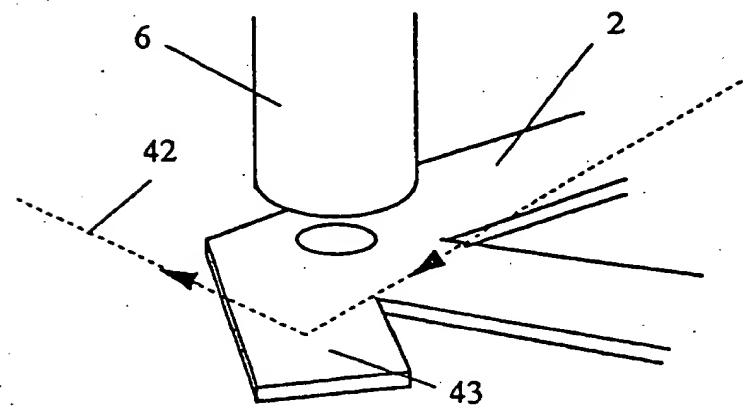


FIG. 8



**THIS PAGE BLANK (USPTO)**



(19)

Europäisches Patentamt  
European Patent Office  
Office européen des brevets



(11)

EP 0 846 932 A3

(12)

## EUROPEAN PATENT APPLICATION

(88) Date of publication A3:  
20.12.2000 Bulletin 2000/51

(51) Int. Cl.<sup>7</sup>: G01B 7/34, G02B 21/00

(43) Date of publication A2:  
10.06.1998 Bulletin 1998/24

(21) Application number: 98101571.2

(22) Date of filing: 28.10.1994

(84) Designated Contracting States:  
DE FR GB

## (72) Inventors:

- Chiba, Norio  
Mihamaku, Chiba-shi, Chiba 261 (JP)
- Muramatsu, Hiroshi  
Mihamaku, Chiba-shi, Chiba 261 (JP)

(30) Priority: 05.11.1993 JP 27670893

## (74) Representative:

Fleuchaus, Leo, Dipl.-Ing. et al  
Melchiorstrasse 42  
81479 München (DE)

(62) Document number(s) of the earlier application(s) in accordance with Art. 76 EPC:  
94117120.9 / 0 652 414

(71) Applicant:  
SEIKO INSTRUMENTS INC.  
Chiba-shi, Chiba 261 (JP)

## (54) Scanning near-field optic/atomic force microscope

(57) The present invention relates to a scanning near-field optic/atomic force microscope adapted to observe topography and optical characteristics of a sample (13), said microscope comprising:

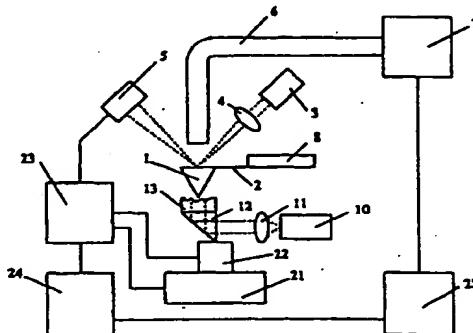
and

a gap control means (23) for controlling a distance between the surface of the sample (13) and the sharp front end of said probe (1);

wherein the probe (1) of the cantilever (2) comprises an overhang portion (43) and the condenser lens (4) directs the laser light to said overhang portion (43).

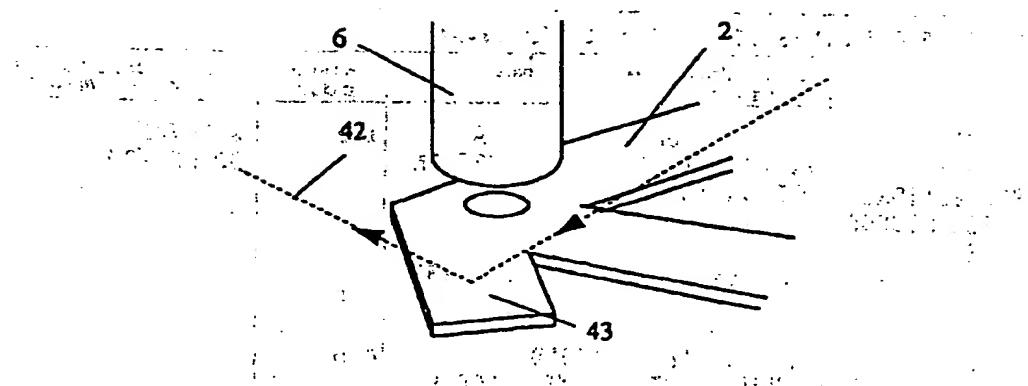
a cantilever (2) having a probe (1) in an end portion thereof consisting primarily of a lightpropagating medium having an optical opening for passing light, said optical opening forming a sharp front end;  
a light-transmitting device (6) having a light-transmitting optical opening in both ends thereof, wherein one of said ends of said light-transmitting device is disposed close to a back surface of said probe (1);  
a light source (10) and optics (11, 12) for generating a light to measure a feature of the sample (13) and for directing generated light to the sample (13);  
a photoelectric converter device (7) and optics for receiving light from the sample (13);  
a deflection-detecting means for optically detecting deflection of said cantilever (2), comprising a light source (3) for generating a laser light for use of deflection-detection; a condenser lens (4) for directing the laser light to a back surface of said cantilever (2); and another photoelectric converter device (5) and optics for detecting reflected light from back surface of said cantilever (2);  
a motion mechanism (21, 22) for making a relative movement between the sample and said probe;

FIG. 1



EP 0 846 932 A3

FIG. 8





European Patent  
Office

## EUROPEAN SEARCH REPORT

Application Number  
EP 98 10 1571

DOCUMENTS CONSIDERED TO BE RELEVANT			
Category	Citation of document with indication, where appropriate, of relevant passages	Relevant to claim	CLASSIFICATION OF THE APPLICATION (Int.Cl.)
X	HULST VAN N F ET AL: "OPERATION OF A SCANNING NEAR FIELD OPTICAL MICROSCOPE IN REFLECTIONIN COMBINATION WITH A SCANNING FORCE MICROSCOPE" SPIE, vol. 1639, 1992, pages 36-43, XP002921963 * figure 2 *	1-3	G01B7/34 G02B21/00
Y	TOLEDO-CROW R ET AL: "NEAR-FIELD DIFFERENTIAL SCANNING OPTICAL MICROSCOPE WITH ATOMIC FORCE REGULATION" APPLIED PHYSICS LETTERS, US, AMERICAN INSTITUTE OF PHYSICS, NEW YORK, vol. 60, no. 24, 15 June 1992 (1992-06-15), pages 2957-2959, XP000269908 ISSN: 0003-6951 * figure 1 *	4,5	
Y	SHMUEL SHALOM ET AL: "A MICROPIPETTE FORCE PROBE SUITABLE FOR NEAR-FIELD SCANNING OPTICAL MICROSCOPY" REVIEW OF SCIENTIFIC INSTRUMENTS, US, AMERICAN INSTITUTE OF PHYSICS, NEW YORK, vol. 63, no. 9, 1 September 1992 (1992-09-01), pages 4061-4065, XP000311659 ISSN: 0034-6748 * figure 1 *	4,5	
A	EP 0 394 962 A (OLYMPUS OPTICAL CO) 31 October 1990 (1990-10-31) * figure 14 *	1,2	
A	EP 0 536 827 A (IBM) 14 April 1993 (1993-04-14) * column 17, line 54 - column 19, line 2 *	1,5	
A			TECHNICAL FIELDS SEARCHED (Int.Cl.) G01B G02B
The present search report has been drawn up for all claims			
Place of search	Date of completion of the search	Examiner	
THE HAGUE	10 October 2000	Clevorn, J	
CATEGORY OF CITED DOCUMENTS			
X : particularly relevant if taken alone Y : particularly relevant if combined with another document of the same category A : technological background O : non-written disclosure P : intermediate document			
T : theory or principle underlying the invention E : earlier patent document, but published on, or after the filing date D : document cited in the application L : document cited for other reasons & : member of the same patent family, corresponding document			

**ANNEX TO THE EUROPEAN SEARCH REPORT  
ON EUROPEAN PATENT APPLICATION NO.**

EP 98 10 1571

This annex lists the patent family members relating to the patent documents cited in the above-mentioned European search report. The members are as contained in the European Patent Office EDP file on. The European Patent Office is in no way liable for these particulars which are merely given for the purpose of information.

10-10-2000

Patent document cited in search report	Publication date	Patent family member(s)		Publication date
EP 0394962 A	31-10-1990	JP	2281103 A	16-11-1990
		JP	2791121 B	27-08-1998
		JP	3071001 A	26-03-1991
		JP	3102209 A	26-04-1991
		DE	69010552 D	18-08-1994
		DE	69010552 T	10-11-1994
		US	5260824 A	09-11-1993
EP 0536827 A	14-04-1993	US	5298975 A	29-03-1994
		DE	69215326 D	02-01-1997
		DE	69215326 T	30-04-1997
		JP	2040519 C	28-03-1996
		JP	5231863 A	07-09-1993
		JP	7074735 B	09-08-1995